NOTIFICATION OF CHANGE FORM (NOC-1)
FOR
COMPANY NAME CHANGES, FACILITY NAME CHANGES, PERMIT TRANSFERS,
OWNERSHIP CHANGES, AND/OR OPERATOR CHANGES (FOR ALL MEDIA)

Instructions and requirements for NOC-1 Form are located on Page 5.

NOTIFICATION OF:

- Permit Transfer
  - Date of Transfer
- Ownership Change
  - Date of Change
- Operator Change
  - Date of Change
- Company Name Change
  - Date of Change
- Facility Name Change
  - Date of Change

SITE INFORMATION

Agency Interest (AI) #
Facility Name
Location (use 911 address if applicable)
City Parish

PERMIT INFORMATION

Please list all permit numbers regarding this facility (attach another page if necessary).

- Air
- Solid Waste
- Water
- Hazardous Waste
- Biosolids

Are all permits for this AI # being transferred? Yes No (If No, attach explanation)

FOR COMPANY NAME CHANGE

New Company Name
Previous Company Name
Contact Name
Contact Phone
Email
Mailing Address
City State Zip

form_7106_r07
09/07/18
FOR FACILITY NAME CHANGE

Previous Facility Name ____________________________________________
New Facility Name ______________________________________________

FOR PERMIT TRANSFERS, OWNERSHIP CHANGES, OR OPERATOR CHANGES

PREVIOUS INFORMATION

Previous Name __________________________________________________
Previous Contact Name __________________________________________
Previous Contact Phone __________________________________________
Previous Email _________________________________________________
Previous Mailing Address _________________________________________
City ___________________________ State ___________ Zip __________

NEW INFORMATION

New Name _______________________________________________________
New Contact Name ______________________________________________
New Contact Phone _____________________________________________
New Email _____________________________________________________
New Mailing Address ____________________________________________
City ___________________________ State ___________ Zip __________

RESPONSIBILITY FOR EXISTING VIOLATIONS

Identify the party who will be responsible for all violations existing prior to the transfer including any potential fines for such past violations and for all outstanding fees and assessed penalties existing prior to the transfer of the permit:

Name _________________________________________________________
Address _______________________________________________________
City ___________________________ State ___________ Zip __________

Signature of Previous Permit Holder ______________________________ Date __________
Printed/Typed Name and Title ____________________________________
Signature of New Permit Holder ________________________________ Date __________
Printed/Typed Name and Title ____________________________________
ADDENDUM TO PERMIT APPLICATIONS per LAC 33:I.1701

1. Does the company or owner have federal or state environmental permits identical to, or of a similar nature to, the permit for which you are applying in Louisiana or other states? (This requirement applies to all individuals, partnerships, corporations, or other entities who own a controlling interest of 50% or more in your company, or who participate in the environmental management of the facility for an entity applying for the permit or an ownership interest in the permit.)
   □ Yes  □ No
   If yes, list permits and other states below or attach another page if more space is needed.

2. Do you owe any outstanding fees or final penalties to the Department?  □ Yes  □ No
   If yes, explain below or attach another page if more space is needed.

3. Is your company a corporation or limited liability company?  □ Yes  □ No
   If yes, attach a copy of your company’s Certificate of Registration and/or Certificate of Good Standing from the Louisiana Secretary of State.

PERMIT LIABILITY TRANSFER AGREEMENT

Previous Business Entity Known As __________________________________________
Previous Facility Name ____________________________________________________
Previous Mailing Address ________________________________________________
   City ___________________ State _____________ Zip _______
Presently covered under permit(s): _________________________________________

Relinquishes and transfers liability, responsibility, and coverage for the permit(s) to:
New Business Entity Known As ___________________________________________
New Facility Name ______________________________________________________
New Mailing Address ____________________________________________________
   City ___________________ State _____________ Zip _______

Who accepts all responsibility, coverage, and liability pertaining to permit(s) listed above upon effective transfer occurring on:
   Effective date of transfer __________________________

FINANCIAL ASSURANCE per LAC 33:I.1909.C

For Biosolids, Hazardous Waste, Solid Waste, and Water permits (regulated by the Public Service Commission) proof of financial assurance must be provided to the LDEQ.

Does the Louisiana Public Service Commission regulate this facility?  □ Yes  □ No
CERTIFICATION
I, the undersigned certify, under provisions in Louisiana and United States law which provide criminal penalties for false statements, that to the best of my knowledge and belief formed after reasonable inquiry, the information submitted, including all attachments thereto are true, accurate and complete.

New Permit Liability Holder/Company Name

New Billing Address

City __________________________ State ___________ Zip ______

Signature of New Responsible Official __________________________ Date ___________

Printed/Typed Name and Title ______________________________________

Previous Permit Liability Holder/Company Name

Previous Billing Address

City __________________________ State ___________ Zip ______

Signature of Previous Responsible Official __________________________ Date ___________

Printed/Typed Name and Title ______________________________________

NOTE: If information and signatures are not obtainable from the previous owner, then the new owner must apply for a new permit. The previous owner has the option to request termination of the existing permit.

NOTE: Instructions and requirements for NOC-1 Form are located on Page 5.

NOTE: Please see fee amounts on Page 5.

NOTE: The LDEQ will notify the requestor in writing by certified mail of the decision regarding the change request. It is very important that you accept certified mail from the LDEQ.
INSTRUCTIONS AND REQUIREMENTS FOR NOC-1 FORM
FOR COMPANY NAME CHANGES, FACILITY NAME CHANGES, PERMIT TRANSFERS, OWNERSHIP CHANGES,
AND/OR OPERATOR CHANGES (FOR ALL MEDIA)

The NOC-1 form is to be submitted when a permit is transferred, a company’s name changes, a facility’s operator changes, or a facility’s name changes in accordance with LAC 33:1. Chapter 19. Submission of this form shall in no way constitute approval of the change request. The LDEQ will notify the requestor in writing by certified mail of the decision regarding the change request. All information must be provided. Do not leave any sections blank. Use N/A if not applicable.

1. Prior to or no later than 45 days after a change has occurred, submit one completed and signed original NOC-1 Form and one copy for each facility (Agency Interest No.). List all permits under the agency interest number for all media. Note the different sections for permit transfers and/or company name changes or facility name changes. The “effective date of transfer” is the specific date of transfer of the permit responsibility, coverage, and liability between the previous and new permittee.

2. Submit Proof of Registration with the LA Secretary of State. If your company is a corporation or a limited liability company, attach a copy of your company’s Certificate of Registration and/or Certificate of Good Standing from the Louisiana Secretary of State. All documents must use the company name as it is specified with the Louisiana Secretary of State. To ensure that the proper name is on your form, please check the LA Secretary of State’s Corporation Database at: https://coraweb.sos.la.gov/CommercialSearch/CommercialSearch.aspx.

3. Submit payment. All payments made by check, draft, or money order shall be payable to LA Department of Environmental Quality. For electronic funds transfer (EFT), contact the LDEQ Office of Management and Finance. For online payments, see http://business.deq.louisiana.gov/. Submit fees as follows:

<table>
<thead>
<tr>
<th>Permit Type</th>
<th>Fee Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air Permits</td>
<td>company name change, transfer, ownership or operator change per permit $500</td>
</tr>
<tr>
<td>Hazardous Waste Permits</td>
<td>company or facility name change, transfer, ownership or operator change per permit none</td>
</tr>
<tr>
<td>Solid Waste Permits</td>
<td>company or facility name change per permit none transfer, ownership or operator change for the solid waste permit type as indicated below:</td>
</tr>
<tr>
<td></td>
<td>• Type I, IA, II, IIA per permit $1,650</td>
</tr>
<tr>
<td></td>
<td>• Type III, Beneficial Use per permit $413</td>
</tr>
<tr>
<td></td>
<td>• Waste Tire Processors per permit $125</td>
</tr>
<tr>
<td>Water Permits</td>
<td>company or facility name change per permit none transfer, ownership or operator change for the water permit type as indicated below:</td>
</tr>
<tr>
<td></td>
<td>• general permit (LAG) per permit none</td>
</tr>
<tr>
<td></td>
<td>• individual per permit 10% of the calculated annual fee but not less than $380, excluding oyster fee</td>
</tr>
<tr>
<td></td>
<td>• LAJ Biosolids per permit none</td>
</tr>
</tbody>
</table>

NOTE: This form does not substitute for the HW-1 form.

NOTE: Stormwater permits beginning with LAR04, LAR05 and LAR10 are not transferrable.

If you would like assistance with Notification of Changes, please contact the Permit Application Administrative Review Group at 225-219-3292.

Completed package should be sent to: Permits Application Administrative Review Group
Public Participation and Permit Support Division
Office of Environmental Services
LA Department of Environmental Quality
Post Office Box 4313
Baton Rouge, LA 70821-4313